

MICROFABRICA®

MICROFABRICA®

MICA Freeform™

BIOCOMPATIBILITY REPORT



## BIOCOMPATIBILITY

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## Biocompatibility of Palladium

### Introduction

The primary standard for biological evaluation of medical devices is International Standard ISO 10993. An overview of test requirements is provided in ISO 10993-1:2003(E) [Reference 1]. For both ISO and FDA, the chart shown in Appendix 1 [Reference 2] applies. For the Japanese Ministry of Health and Welfare, the chart shown in Appendix 2 [Reference 2] applies. The categorization of medical devices according to the nature and duration of contact with the body is very similar between the ISO/FDA and Japanese MHW guidelines, though there are some differences with respect to specific test procedures and protocols. Microfabrica has opted to test its materials according to the criteria for a *permanent implant device having blood contact* (shown as the bottom row in the tables of Appendices 1 and 2). Per both tables, the prescribed tests are therefore:

- Cytotoxicity
- Sensitization
- Irritation or intracutaneous reactivity
- Acute systemic toxicity
- Subacute and subchronic toxicity
- Genotoxicity
- Pyrogen
- Implantation
- Haemocompatibility
- Chronic toxicity
- Carcinogenicity

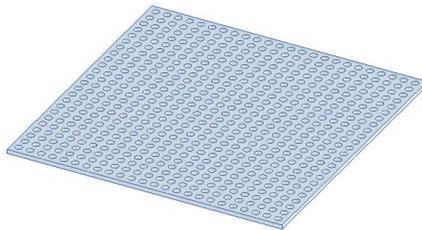


Figure 1. Single layer Pd test specimen.

## Biocompatibility of Palladium

Brief descriptions of these tests are provided in Appendix 3. Suggestions for specific tests to perform from the above list, as well as test protocols, were made by the primary independent testing lab performing the biocompatibility tests (Wuxi AppTec) and Microfabrica consultants. The resulting test plan proceeded as follows:

- Tests were performed using single-layer test specimens such as the perforated square shown in Figure 1. Implantation tests were performed with non-perforated disk-shaped test specimens that were steam sterilized. In the case of the pyrogen test, it was performed using a three-layer specimen sterilized using the irradiation method.
- All tests were performed non-GLP (Good Laboratory Practice) since the tests were aimed at characterizing MICA Freeform™ materials, rather than final devices.
- The MEM Elution test for cytotoxicity was selected.
- An intracutaneous reactivity test was selected.
- The Ames test was selected as the only genotoxicity test to be performed.
- Implantation tests in rabbit muscle were chosen to be for 2 and 12-week duration.
- Haemocompatibility tests were chosen to encompass direct contact hemolysis (ASTM), platelet and leukocyte counts, and partial thromboplastin time.
- Chronic toxicity and carcinogenicity tests were not performed.
- Extraction protocols were chosen to be 121° C for 1 hr.
- Extraction vehicles and sterilizations were chosen as follows:
  - Normal saline and cottonseed oil for the sensitization test (no sterilization)
  - Normal saline and cottonseed oil for the acute systemic injection test (steam)
  - Normal saline and cottonseed oil for the intracutaneous reactivity test (steam)
  - Normal saline for the pyrogen test (radiation)
  - Normal saline for the subchronic intravenous toxicity test (steam)
  - Cottonseed oil for the subacute intraperitoneal toxicity test (steam)
  - Saline/dimethylsulfoxide for the Ames test (no sterilization)
  - E-MEM for the MEM Elution cytotoxicity test (steam)
- Extraction ratios were chosen to be 4g/20 mL, 60 cm<sup>2</sup>/20 mL, 120 cm<sup>2</sup>/20 mL, and 4 cm<sup>2</sup>/1 mL, 21 cm<sup>2</sup>/7 mL, depending on the test.

## Biocompatibility of Palladium

### Test Results

The results of testing the biocompatibility of palladium are shown in Table 1.

**Table 1. Test results for Microfabrica palladium**

Test	Result
Cytotoxicity (ISO)	Excellent: 0 cytotoxicity score, no cell lysis, non-toxic
Sensitization (ISO maximization)	Excellent: 0% sensitization response
Intracutaneous irritation (ISO)	Excellent: Negligible primary irritation index
Acute systemic toxicity (USP, ISO)	Excellent: No evidence of systemic toxicity
Subacute intraperitoneal toxicity (ISO)	Excellent: Negative for signs of systemic toxicity
Subchronic intravenous toxicity (ISO)	Excellent: Negative for signs of systemic toxicity
Ames mutagenicity (ISO)	Excellent: Non-mutagenic
Pyrogen (ISO)	Excellent: Non-pyrogenic
2- and 12-week rabbit muscle implantation (ISO)	Excellent: Non-Irritant
Haemocompatibility—Hemolysis (ASTM)	Excellent: Hemolytic index 0.4% (non-hemolytic)
Haemocompatibility—Partial thromboplastin time (ISO)	Excellent: Non-activator of intrinsic coagulation pathway
Haemocompatibility—Platelet and leukocyte counts (ISO)	Excellent: Equivalent to HDPE

## Biocompatibility of Palladium

### Conclusions

The test results summarized in Table 1 indicate that palladium is a suitable material for short-term (<24 hours) exposure applications in manufacturing surface and external devices which work in contact with skin or mucosal membranes, breached or compromised surfaces, bone, dentin, and circulating blood. Palladium is also suitable for short- and long-term implantation in the body and the circulatory system.

Since the material has good corrosion resistance, we do not consider that the material biocompatibility can be influenced by the sterilization method. For pyrogenicity, the biocompatibility result may be directly dependent on the sterilization method and the ability of this method to eliminate the pyrogenic effect induced by the water based processes.

### References

[1] *Biological evaluation of medical devices — Part 1: Evaluation and testing*, Third edition 2003-08-01, reference number ISO 10993-1:2003(E).

[2] Vasudev P. Anand, "Biocompatibility Safety Assessment of Medical Devices: FDA/ISO and Japanese Guidelines", *Medical Device & Diagnostic Industry*, January 2000. Available online at <http://www.device-link.com/mddi/archive/00/01/017.html>.

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## Biocompatibility of Palladium

### APPENDICES

Appendix 1: ISO/FDA test chart [Reference 2]

Device Categories		Initial Evaluation									Supplemental Evaluation	
	Body Contact	Contact Duration	Cytotoxicity	Sensitization	Irritation or intracutaneous reactivity	Systemic toxicity (acute) pyrogenicity	Subchronic toxicity	Genotoxicity	Implantation	Hemocompatibility	Chronic toxicity	Carcinogenicity
Surface Devices	Skin	A	•	•	•							
		B	•	•	•							
		C	•	•	•							
	Mucosal Membrane	A	•	•	•	0	0		0			
		B	•	•	•	0	•	•	0		0	
		C	•	•	•	0	•	•	0		0	
	Breached / compromised surface	A	•	•	•	0	0		0			
		B	•	•	•	0	•	•	0		0	
		C	•	•	•	0	•	•	0		0	
External Communicating Devices	Blood path indirect	A	•	•	•	•				•		
		B	•	•	•	•	0			•		
		C	•	•	0	•	•	•	0		•	•
	Tissue / bone dentin communicating	A	•	•	•	0	0		•			
		B	•	•	0	0	0	•	•			
		C	•	•	0	0	0	•	•		0	•
	Circulating blood	A	•	•	•	•		0		•		
		B	•	•	•	•	0	•	0	•		
		C	•	•	•	•	•	•	0	•	•	•
Implant Devices	Bone / tissue	A	•	•	•	0						
		B	•	•	0	0	0	•	•			
		C	•	•	0	0	0	•	•		•	•
	Blood	A	•	•	•	•				•		
		B	•	•	•	•	0	•	•	•		
		C	•	•	•	•	•	•	•	•	•	•

A = Limited exposure (≤ 24 hours) B = Prolonged exposure (24 hours - 30 days) C = Permanent contact (> 30 days)  
 • = FDA and ISO evaluation tests 0 = Additional tests for FDA

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## Biocompatibility of Palladium

### APPENDICES

Appendix 2: Japanese Ministry of Health and Welfare (MHW) test chart [Reference 2]

Device Categories		Initial Evaluation								Supplemental Evaluation		
	Body Contact	Contact Duration	Cytotoxicity	Sensitization	Irritation or intracutaneous reactivity	Systemic toxicity (acute) pyrogenicity	Subchronic toxicity	Genotoxicity	Implantation	Hemocompatibility	Chronic toxicity	Carcinogenicity
Surface Devices	Skin	A	•	•	•							
		B	•	•	•							
		C	•	•	•							
	Mucosal Membrane	A	•	•	•	0	0		0		0	
		B	•	•	•	0	•	•	0			
		C	•	•	•	0	•	•	0			
	Breached / compromised surface	A	•	•	•	0	0		0		0	
		B	•	•	•	0	0	•	0			
		C	•	•	•	0	•	•	0			
External Communicating Devices	Blood path indirect	A	•	•	•	•				•		
		B	•	•	•	•	0			•		•
		C	•	•	0	•	•	•	0		•	
	Tissue / bone dentin communicating	A	•	•	•	0						
		B	•	•	0	0	0	•	•		0	
		C	•	•	0	0	0	•	•			•
	Circulating blood	A	•	•	•	•		0		•		
		B	•	•	•	•	0	•	0	•		
		C	•	•	•	•	•	•	0	•	•	•
Implant Devices	Bone / tissue	A	•	•	•	0						
		B	•	•	0	0	0	•	•		•	
		C	•	•	0	0	0	•	•		•	•
	Blood	A	•	•	•	•				•		
		B	•	•	•	•	0	•	•	•		
		C	•	•	•	•	•	•	•	•	•	•

A = Limited exposure (≤ 24 hours)    B = Prolonged exposure (24 hours - 30 days)    C = Permanent contact (> 30 days)  
 • = FDA and ISO evaluation tests    0 = Additional tests for FDA

## Biocompatibility of Palladium

### Appendix 3: Test Descriptions

The following are brief descriptions of the tests described above. More detailed descriptions may be found in the ISO standard [Reference 1].

- Cytotoxicity. Evaluates the material's effect on cell growth, cell depth, and other effects.
- Sensitization. Estimates the potential for contact sensitization; even small amounts of potential leachables can produce allergic or sensitization reactions.
- Irritation. Estimates the potential for irritation in a suitable model using sites such as skin, eye and mucous membranes.
- Intracutaneous reactivity. Assesses the localized reaction of tissue. Applicable when devices have access to circulating blood, etc. such that determination of irritation by dermal or mucosal tests are inappropriate.
- Acute systemic toxicity. Estimates, using an animal model, the potential harmful effects of single or multiple exposures during a brief period.
- Subacute and subchronic toxicity. Determines the effects of single or multiple exposures for a period not less than 24 hours, and not greater than 10% of the life span of the animal used for testing.
- Genotoxicity. Uses cell cultures or other techniques to determine gene mutations, changes in chromosome structure or number, or other DNA or gene toxicities.
- Pyrogen. Assesses pyrogenic (fever-producing) reactions in test animals.
- Implantation. Evaluates local pathological effects on tissue at both gross and microscopic levels, of a specimen that is surgically implanted in appropriate tissue appropriate in a test animal.
- Haemocompatibility. Evaluates the effects of blood-contact with devices or materials. Tests may assess the potential for hemolysis, thrombogenicity, etc.
- Chronic toxicity. Determines the effects of single or multiple exposures during at least 10 % of the life span of the test animal.
- Carcinogenicity. Assesses the tumorigenic potential during the major portion of a test animal's life span. Carcinogenicity tests should be performed only if there are suggestive data from other sources.

## Biocompatibility of Valloy-120™

### Introduction

The primary standard for biological evaluation of medical devices is International Standard ISO 10993. An overview of test requirements is provided in ISO 10993-1:2003(E) [Reference 1]. For both ISO and FDA, the chart shown in Appendix 1 [Reference 2] applies. For the Japanese Ministry of Health and Welfare, the chart shown in Appendix 2 [Reference 2] applies. The categorization of medical devices according to the nature and duration of contact with the body is very similar between the ISO/FDA and Japanese MHW guidelines, though there are some differences with respect to specific test procedures and protocols. Microfabricica has opted to test its materials according to the criteria for a *permanent implant device having blood contact* (shown as the bottom row in the tables of Appendices 1 and 2). Per both tables, the prescribed tests are therefore:

- Cytotoxicity
- Sensitization
- Irritation or intracutaneous reactivity
- Acute systemic toxicity
- Subacute and subchronic toxicity
- Genotoxicity
- Pyrogen
- Implantation
- Haemocompatibility
- Chronic toxicity
- Carcinogenicity

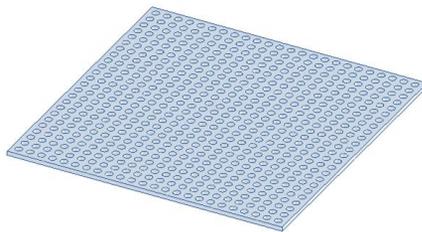


Figure 1. Single layer Valloy-120™ test specimen.

## Biocompatibility of Valloy-120™

Brief descriptions of these tests are provided in Appendix 3. Suggestions for specific tests to perform from the above list, as well as test protocols, were made by the primary independent testing lab performing the biocompatibility tests (Wuxi AppTec) and Microfabrica consultants. The resulting test plan proceeded as follows:

- Tests were performed using multi-layer devices in some cases and in other cases, using single-layer test specimens such as the perforated square shown in Figure 1. Implantation tests were performed with non-perforated disk-shaped test specimens that were steam sterilized. Acute systemic injection and intracutaneous reactivity tests performed by NAMSA were performed on Valloy-120™ foils.
- All tests were performed non-GLP (Good Laboratory Practice) since the tests were aimed at characterizing MICA Freeform™ materials, rather than final devices. All tests were performed on samples that were sterilized using the steam sterilization method, with the exception of the pyrogenicity test for which the test article was sterilized using the irradiation sterilization method. Since the material has good corrosion resistance, we do not consider that the material biocompatibility can be influenced by the sterilization method. For pyrogenicity, the biocompatibility result may be directly dependent on the sterilization method and the ability to eliminate the pyrogenic effect induced by the water based processes.
- The MEM Elution test for cytotoxicity was selected.
- An intracutaneous reactivity test was selected.
- The Ames test was selected as the only genotoxicity test to be performed.
- Implantation tests in rabbit muscle were chosen to be for 2 and 12-week duration.
- Haemocompatibility tests were chosen to encompass direct contact hemolysis (ASTM), platelet and leukocyte counts, and partial thromboplastin time.
- Chronic toxicity and carcinogenicity tests were not performed.
- In most cases (other than for the implantation test) specimens were not sterilized prior to testing.
- Extraction protocols were generally chosen to be 121° C for 1 hr.
- Extraction vehicles and sterilizations were chosen as follows:
  - Ethanol in saline and PEG were used for the acute systemic injection and intracutaneous reactivity tests performed by AppTec (no sterilization)
  - Normal saline and sesame oil were used for the acute systemic injection and intracutaneous reactivity tests performed by NAMSA (no sterilization)
  - Normal saline and cottonseed oil for the sensitization test (no sterilization)
  - Normal saline for the pyrogen test (steam)
  - Normal saline for the subchronic intravenous toxicity test (steam)
  - Cottonseed oil for the subacute intraperitoneal toxicity test (steam)
  - Saline/dimethylsulfoxide for the Ames test (no sterilization)
  - E-MEM for the MEM Elution cytotoxicity test (no sterilization)
- Extraction ratios were chosen to be 4g/20 mL, 60 cm<sup>2</sup>/20 mL, 120 cm<sup>2</sup>/20 mL, and 4 cm<sup>2</sup>/1 mL, 21 cm<sup>2</sup>/7 mL, depending on the test.

## Biocompatibility of Valloy-120™

### Test Results

The results of testing the biocompatibility of Valloy-120™ are shown in Table 1.

**Table 1. Test results for Valloy-120™**

Test	Result
Cytotoxicity (ISO)	Excellent: 0 cytotoxicity score, no cell lysis, non-toxic
Sensitization (ISO maximization)	Excellent: 0% sensitization response
Intracutaneous irritation (ISO)	Excellent: Negligible primary irritation index
Acute systemic toxicity (USP, ISO)	Excellent: No evidence of systemic toxicity
Subacute intraperitoneal toxicity (ISO)	Excellent: Negative for signs of systemic toxicity
Subchronic intravenous toxicity (ISO)	Excellent: Negative for signs of systemic toxicity
Ames mutagenicity (ISO)	Excellent: Non-mutagenic
Pyrogen (ISO)	Excellent: Non-pyrogenic
2- and 12-week rabbit muscle implantation (ISO)	Very poor: Severe irritant
Haemocompatibility—Hemolysis (ASTM)	Excellent: Hemolytic index 0.4% (non-hemolytic)
Haemocompatibility—Partial thromboplastin time (ISO)	Excellent: Non-activator of intrinsic coagulation pathway
Haemocompatibility—Platelet and leukocyte counts (ISO)	Excellent: Equivalent to HDPE

## Biocompatibility of Valloy-120™

### Conclusions

The test results summarized in Table 1 indicate that Valloy-120™ is a suitable material for short-term (<24 hours) exposure applications in manufacturing surface and external devices which work in contact with skin or mucosal membranes, breached or compromised surfaces, bone, dentin, and circulating blood. Valloy-120™ is also qualified for short-term (<24 hours) implantation in bone and tissue. It is not, however, suitable for long-term implantation or for implantation in the circulatory system.

### References

[1] *Biological evaluation of medical devices — Part 1: Evaluation and testing*, Third edition 2003-08-01, reference number ISO 10993-1:2003(E).

[2] Vasudev P. Anand, “Biocompatibility Safety Assessment of Medical Devices: FDA/ISO and Japanese Guidelines”, Medical Device & Diagnostic Industry, January 2000. Available online at <http://www.devicelink.com/mddi/archive/00/01/017.html>.

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## Biocompatibility of Valloy-120™

### APPENDICES

Appendix 1: ISO/FDA test chart [Reference 2]

Device Categories		Initial Evaluation									Supplemental Evaluation	
	Body Contact	Contact Duration	Cytotoxicity	Sensitization	Irritation or intracutaneous reactivity	Systemic toxicity (acute) pyrogenicity	Subchronic toxicity	Genotoxicity	Implantation	Hemocompatibility	Chronic toxicity	Carcinogenicity
Surface Devices	Skin	A	•	•	•							
		B	•	•	•							
		C	•	•	•							
	Mucosal Membrane	A	•	•	•	0	0		0			
		B	•	•	•	0	•	•	0		0	
		C	•	•	•	0	•	•	0		0	
	Breached / compromised surface	A	•	•	•	0	0		0			
		B	•	•	•	0	•	•	0		0	
		C	•	•	•	0	•	•	0		0	
External Communicating Devices	Blood path indirect	A	•	•	•	•				•		
		B	•	•	•	•	0			•		•
		C	•	•	0	•	•	•	0		•	•
	Tissue / bone dentin communicating	A	•	•	•	0	0		•			
		B	•	•	0	0	0	•	•		0	•
		C	•	•	0	0	0	•	•		0	•
	Circulating blood	A	•	•	•	•		0		•		
		B	•	•	•	•	0	•	0	•		
		C	•	•	•	•	•	•	0	•	•	•
Implant Devices	Bone / tissue	A	•	•	•	0						
		B	•	•	0	0	0	•	•		•	•
		C	•	•	0	0	0	•	•		•	•
	Blood	A	•	•	•	•				•		
		B	•	•	•	•	0	•	•	•		
		C	•	•	•	•	•	•	•	•	•	•

A = Limited exposure (≤ 24 hours) B = Prolonged exposure (24 hours - 30 days) C = Permanent contact (> 30 days)  
 • = FDA and ISO evaluation tests 0 = Additional tests for FDA

## Biocompatibility of Valloy-120™

### APPENDICES

Appendix 2: Japanese Ministry of Health and Welfare (MHW) test chart [Reference 2]

Device Categories		Initial Evaluation									Supplemental Evaluation		
	Body Contact	Contact Duration	Cytotoxicity	Sensitization	Iritation or intracutaneous reactivity	Systemic toxicity (acute) pyrogenicity	Subchronic toxicity	Genotoxicity	Pyrogen	Implantation	Hemocompatibility	Chronic toxicity	Carcinogenicity
Surface Devices	Skin	A B C	• • •	• • •	• • •								
	Mucosal Membrane	A B C	• • •	• • •	• • •		•	•					
	Breached / compromised surface	A B C	• • •	• • •	• • •		•	•					
External Communicating Devices	Blood path indirect	A B C	• • •	• • •	• • •	• • •	•	•	• • •		• • •	•	•
	Tissue / bone dentin communicating	A B C	• • •	• • •	•			• •		• •			•
	Circulating blood	A B C	• • •	• • •	• • •	• • •	•	• • •	• • •		• • •	•	•
Implant Devices	Bone / tissue	A B C	• • •	• • •	•			• •		• •		•	•
	Blood	A B C	• • •	• • •	• • •	• • •	•	• •	• • •	• • •	• • •	•	•

A = Temporary contact (≤ 24 hours) B = Short and medium term contact (24 hours - 30 days) C = Long term contact (> 30 days)

## Biocompatibility of Valloy-120™

### Appendix 3: Test Descriptions

The following are brief descriptions of the tests described above. More detailed descriptions may be found in the ISO standard [Reference 1].

- Cytotoxicity. Evaluates the material's effect on cell growth, cell depth, and other effects.
- Sensitization. Estimates the potential for contact sensitization; even small amounts of potential leachables can produce allergic or sensitization reactions.
- Irritation. Estimates the potential for irritation in a suitable model using sites such as skin, eye and mucous membranes.
- Intracutaneous reactivity. Assesses the localized reaction of tissue. Applicable when devices have access to circulating blood, etc. such that determination of irritation by dermal or mucosal tests are inappropriate.
- Acute systemic toxicity. Estimates, using an animal model, the potential harmful effects of single or multiple exposures during a brief period.
- Subacute and subchronic toxicity. Determines the effects of single or multiple exposures for a period not less than 24 hours, and not greater than 10% of the life span of the animal used for testing.
- Genotoxicity. Uses cell cultures or other techniques to determine gene mutations, changes in chromosome structure or number, or other DNA or gene toxicities.
- Pyrogen. Assesses pyrogenic (fever-producing) reactions in test animals.
- Implantation. Evaluates local pathological effects on tissue at both gross and microscopic levels, of a specimen that is surgically implanted in appropriate tissue appropriate in a test animal.
- Haemocompatibility. Evaluates the effects of blood-contact with devices or materials. Tests may assess the potential for hemolysis, thrombogenicity, etc.
- Chronic toxicity. Determines the effects of single or multiple exposures during at least 10 % of the life span of the test animal.
- Carcinogenicity. Assesses the tumorigenic potential during the major portion of a test animal's life span. Carcinogenicity tests should be performed only if there are suggestive data from other sources.

## Palladium Sterility

### Sterility Testing

Product sterility tests are normally performed to validate sterilization processes, as well as to monitor sterilization cycles. The sterility tests may involve a rinse method, membrane filtration, or total immersion. The samples tested, the growth medium used and the incubation conditions are based on the particular standard involved – USP, AAMI/ISO, or FDR/CFR. The USP standard was chosen for Microfabrica’s tests.

For the sterility testing of Microfabrica’s Palladium products, 2 sets of samples were selected and tested. The samples were initially irradiated using gamma radiation at a dosage level of 27 kGy. The samples were then exposed to a small quantity of media (100-200mL), using both Soybean-Casein Digest Medium (SCDM) and Fluid Thioglycollate Medium (FTM) per USP guidelines.

### Test Results

Test Parameters	Palladium Sample Set 1	Palladium Samples Set 2
Portion / SIP tested	1.0	1.0
Number tested	5	4
Type of Media	SCD	FTM
Media Volume	100mL	100mL
Incubation Period	14 Days	14 Days
Incubation temperature	20° C to 25° C	30° C to 35° C
RESULTS	5 NEGATIVE	4 NEGATIVE

# MICROFABRICA®

## Valloy-120™ Sterility

### Sterility Testing

Product sterility tests are normally performed to validate sterilization processes, as well as to monitor sterilization cycles. The sterility tests may involve a rinse method, membrane filtration, or total immersion. The samples tested, the growth medium used and the incubation conditions are based on the particular standard involved – USP, AAMI/ISO, or FDR/CFR. The USP standard was chosen for Microfabrica's tests.

For the sterility testing of Microfabrica's Valloy-120™ products, 2 sets of samples were selected and tested. The samples were initially irradiated using gamma radiation at a dosage level of 27 kGy. The samples were then exposed to a small quantity of media (100-200mL), using both Soybean-Casein Digest Medium (SCDM) and Fluid Thioglycollate Medium (FTM) per USP guidelines.

### Test Results

Test Parameters	Valloy-120™ Sample Set 1	Valloy-120™ Samples Set 2
Portion / SIP tested	1.0	1.0
Number tested	5	5
Type of Media	SCD	FTM
Media Volume	100mL	100mL
Incubation Period	14 Days	14 Days
Incubation temperature	20° C to 25° C	30° C to 35° C
RESULTS	5 NEGATIVE	5 NEGATIVE

## Palladium Corrosion Resistance

### Background

Palladium parts fabricated using MICA Freeform™ at Microfabrica have been tested to determine their resistance to corrosion in saline environments. **ASTM F2129-06** was used as the guideline under which a polarization curve was found to determine palladium's corrosion resistance.

Cyclic potentiodynamic polarization method was used to obtain the polarization curve. This is a technique in which the potential of the test specimen is controlled and the corrosion current measured by a potentiostat. The device is placed in an appropriate deaerated, simulated physiological solution (e.g. saline), and the rest potential (or Open Circuit Potential – OCP) is recorded for 1 hour, or, alternatively, until the rest potential stabilizes to a rate of change less than 3mV/min. The potentiodynamic scan is then started at the OCP and scanned in the positive or noble (forward) direction. The scan is reversed after either the vertex potential is reached or the current density has reached a value approximately two decades greater than the current density measured at the breakdown potential. The reverse scan is stopped after the current has become less than that in the forward direction or the potential reaches the OCP. The data is plotted with the current density in mA/cm<sup>2</sup> in the x-axis (log axis) versus the potential in mV on the y-axis (linear axis).

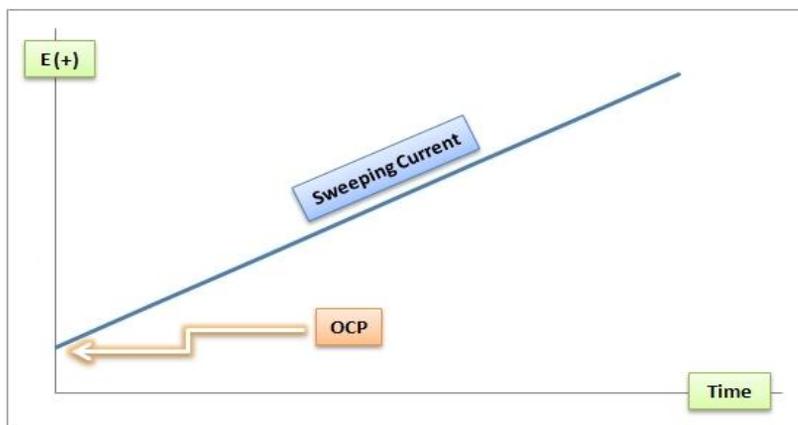


Figure 1. Illustration of a theoretical OCP (Open Circuit Potential).

If the potential is plotted against time and a sweeping potential is applied, typically a line is generated from the OCP in the positive direction. Generally, *a material that exhibits a polarization curve with high OCP value is more noble, and therefore, resistant to corrosion*. However, it must be noted that OCP inherently depends on the history of the working electrode. This means that the surface of the working electrode must be clean from contamination to reproduce valid results.

## Palladium Corrosion Resistance

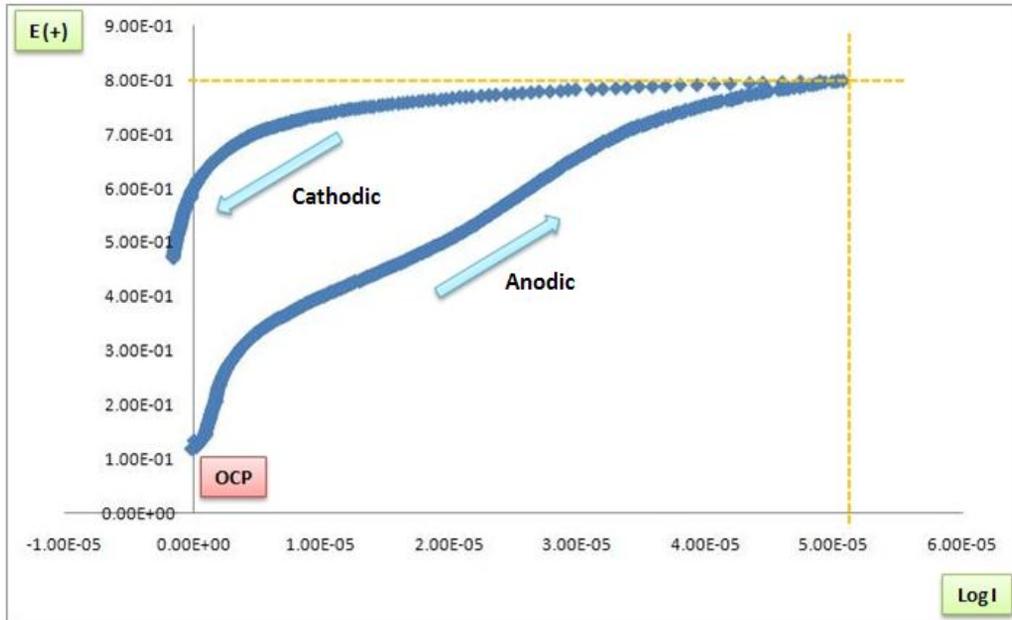


Figure 2. Example of defining the vertex of a polarization curve for a corrosion resistant material (palladium of platinum group is shown).

Typically, the forward sweep of the curve will be anodic, and the reverse sweep will be cathodic. *For characterizing corrosion resistance, the shape of the polarization curve is not critical. Rather, the two points of interest are the OCP and the vertex.* The look of a polarization curve depends on multiple factors (electrolyte, sweep regime, direction it was recorded, surface conditions of the electrode, etc.).

By ASTM standards, the vertex is defined to be the value of the current when electrical potential reaches 800mV or 10mA. *The more noble a material, the lower the current will be at 800mV.* Sometimes, a non-noble material may reach a value of 10 mA in current before ever achieving 0.8 E in potential. In such cases, the 10 mA-line serves as the standard cut-off for the vertex. In general, *the lower the current is at 800mV, the more corrosion resistant the material.* In other words, for polarization curves, the vertex is determined by the 800mV or 10mA lines, whichever occurs first.

## Palladium Corrosion Resistance

### Corrosion Resistance of Palladium

In Figure 3, the polarization curve of Microfabrica's Palladium-A is plotted alongside other known biocompatible materials. As the figure shows, MFI Palladium-A offers better corrosion resistance than other biocompatible metals such as platinum and stainless steel. Palladium-A exhibits both higher OCP and lower current at 800mV, which are the two criteria for determining a material's nobleness. Generally, a more noble material can be found in the upper-left corner of a polarization chart. In addition, palladium is biocompatible and qualified as a long term implant (>30 days) in the circulatory system (exposure to ionic environment). Palladium has demonstrated long term chemical and electrochemical stability when exposed to ionic environment.

In conclusion, Palladium parts fabricated using MICA Freeform™ at Microfabrica are corrosion resistant for long term implantation applications. There are several factors that may impact the corrosion resistance of Microfabrica's Palladium when employed in finished medical devices. These may range from the surface finish to process conditions, its interaction with other metals, and the clinical environment. Ultimately, the corrosion resistance test may be repeated on the finished medical product according to the specific indications for use.

## Palladium Corrosion Resistance

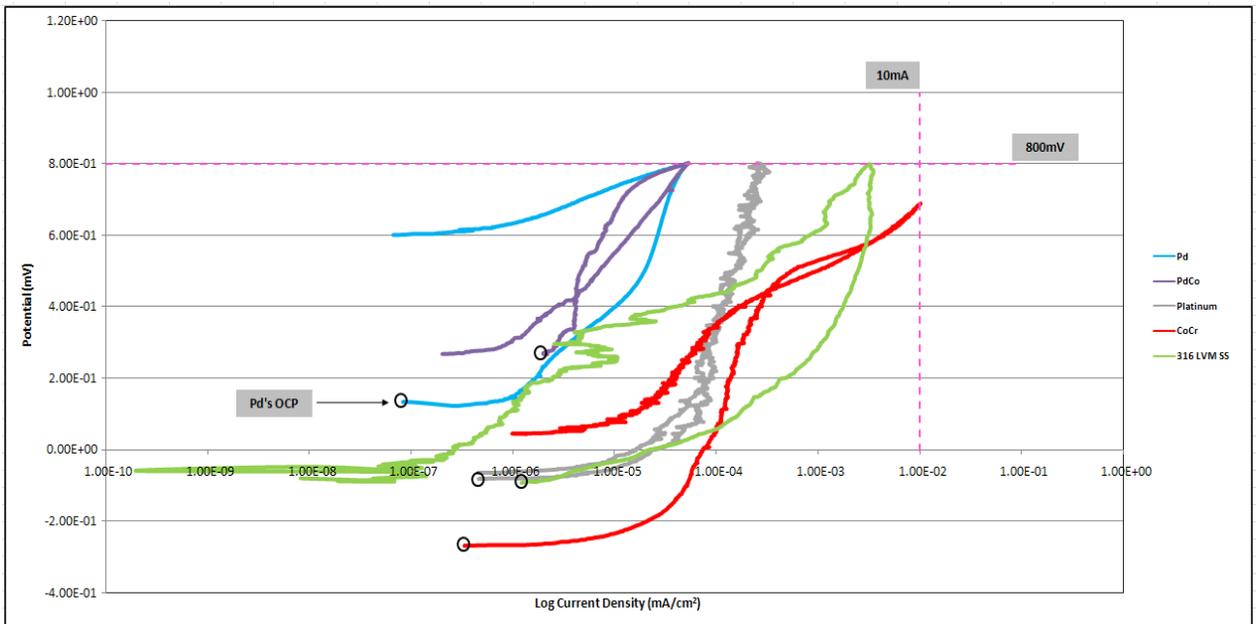


Figure 3: Polarization curves for Pd and other known biocompatible materials.

## Valloy-120™ Corrosion Resistance

### Background

Valloy-120™ parts fabricated using MICA Freeform™ at Microfabrica have been tested to determine their resistance to corrosion in saline environments. **ASTM F2129-06** was used as the guideline under which a polarization curve was found to determine Valloy-120™ corrosion resistance.

Cyclic potentiodynamic polarization method was used to obtain the polarization curve. This is a technique in which the potential of the test specimen is controlled and the corrosion current measured by a potentiostat. The device is placed in an appropriate deaerated, simulated physiological solution (e.g. saline), and the rest potential (or Open Circuit Potential – OCP) is recorded for 1 hour, or, alternatively, until the rest potential stabilizes to a rate of change less than 3mV/min. The potentiodynamic scan is then started at the OCP and scanned in the positive or noble (forward) direction. The scan is reversed after either the vertex potential is reached or the current density has reached a value approximately two decades greater than the current density measured at the breakdown potential. The reverse scan is stopped after the current has become less than that in the forward direction or the potential reaches the OCP. The data is plotted with the current density in mA/cm<sup>2</sup> in the x-axis (log axis) versus the potential in mV on the y-axis (linear axis).

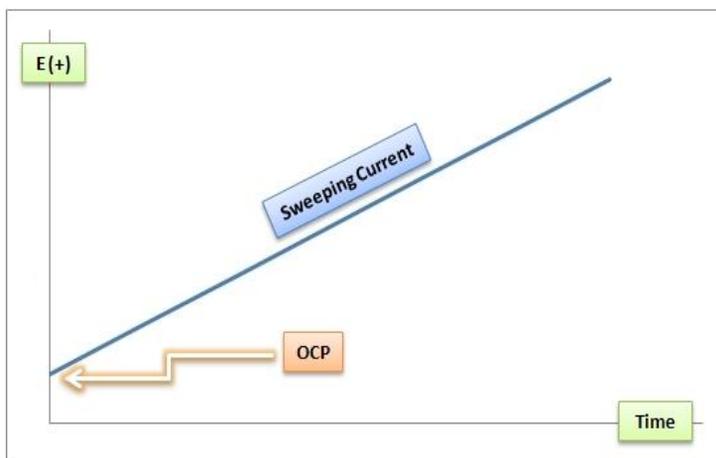


Figure 1. Illustration of a theoretical OCP (Open Circuit Potential).

If the potential is plotted against time and a sweeping potential is applied, typically a line is generated from the OCP in the positive direction. Generally, *a material that exhibits a polarization curve with high OCP value is more noble, and therefore, resistant to corrosion*. However, it must be noted that OCP inherently depends on the history of the working electrode. This means that the surface of the working electrode must be clean from contamination to reproduce valid results.

## Valloy-120™ Corrosion Resistance

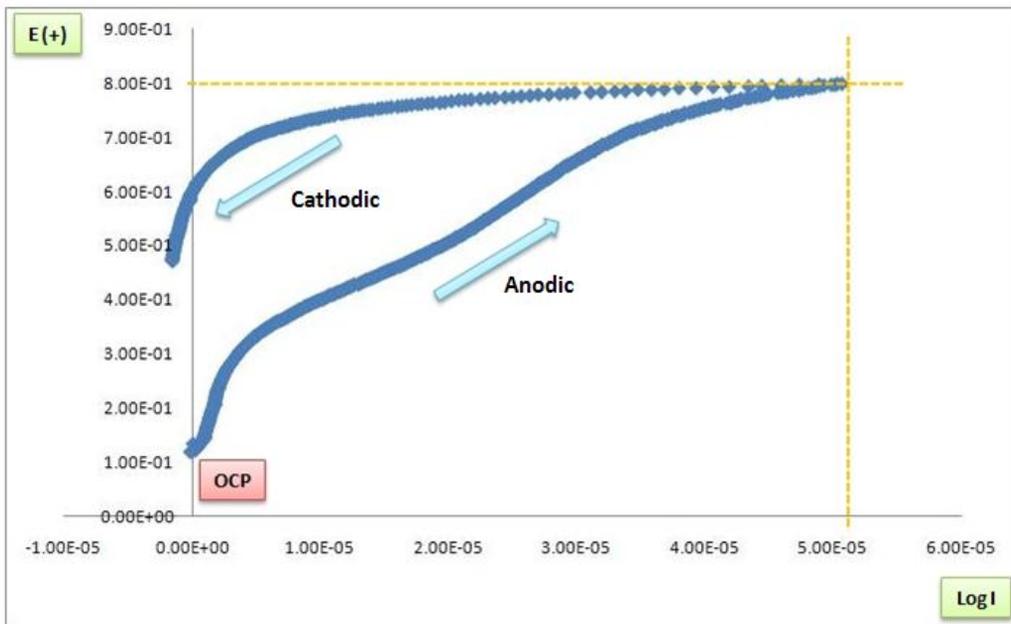


Figure 2. Example of defining the vertex of a polarization curve for a corrosion resistant material (for illustrative purpose, the curve for palladium is shown).

Typically, the forward sweep of the curve will be anodic, and the reverse sweep will be cathodic. For characterizing corrosion resistance, the shape of the polarization curve is not critical. Rather, the two points of interest are the OCP and the vertex. The look of a polarization curve depends on multiple factors (electrolyte, sweep regime, direction it was recorded, surface conditions of the electrode, etc.).

By ASTM standards, the vertex is defined to be the value of the current when electrical potential reaches 800mV or 10mA. *The more noble a material, the lower the current will be at 800mV.* Sometimes, a non-noble material may reach a value of 10 mA in current before ever achieving 0.8 E in potential. In such cases, the 10 mA-line serves as the standard cut-off for the vertex. *In general, the lower the current is at 800mV, the more corrosion resistant the material.* In other words, for polarization curves, the vertex is determined by the 800mV or 10mA lines, whichever occurs first.

## Valloy-120™ Corrosion Resistance

### Corrosion Resistance of Valloy-120™

In Figure 3, the polarization curve of Microfabrica's Valloy-120™ is plotted alongside other known biocompatible materials. As the figure shows, MFI Valloy-120™ offers relatively good corrosion resistance in a saline environment. Its low current at 800mV speaks to its good corrosion resistance, but its OCP is relatively low, lower than the other known biocompatible materials, and this can be seen as reflective of its short term biocompatibility. That is, Valloy-120™ is biocompatible, but not an implantable material.

In conclusion, Microfabrica's Valloy-120™ parts are corrosion resistant for short term (<24 hours) applications. There are several factors that can impact the corrosion resistance of Microfabrica's Valloy-120™ when employed in finished medical devices. These range from the surface finish to process conditions, its interaction with other metals, and the clinical environment. Ultimately, the corrosion resistance test may be repeated on the finished medical product according to the specific indications for use.

## Valloy-120™ Corrosion Resistance

### Exhibit #004

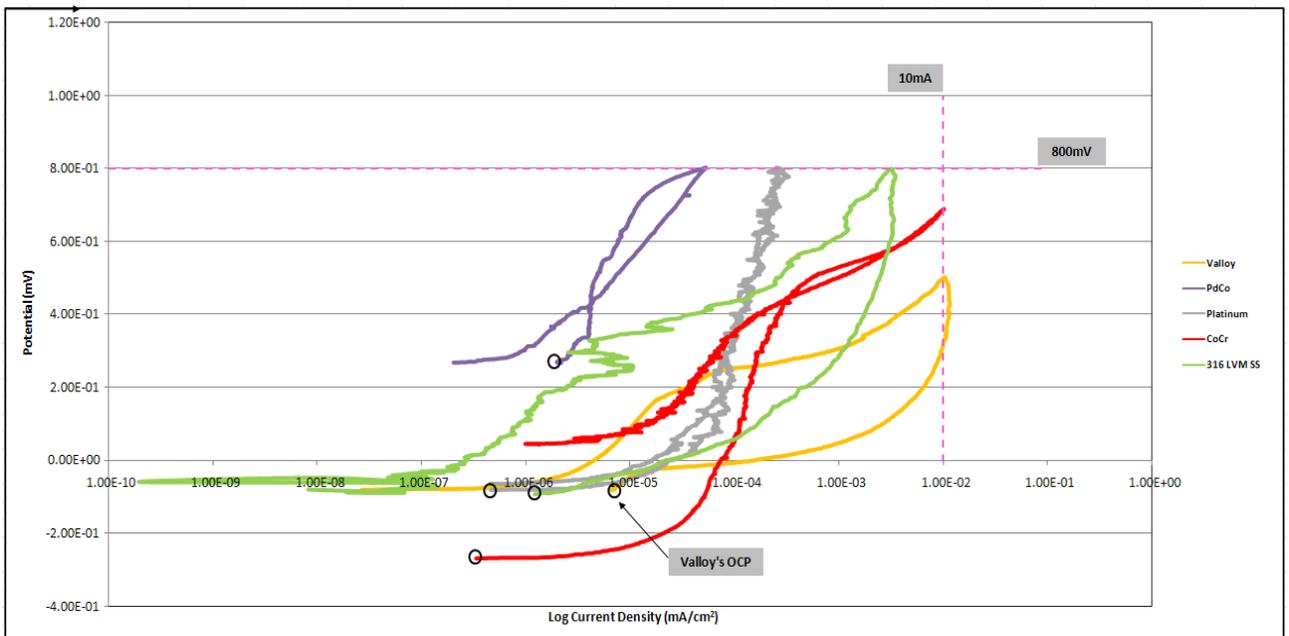


Figure 3: Polarization curves for Valloy-120™ and other known biocompatible materials.

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